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### FACSIMILE TRANSMITTAL SHEET (TRANSMITTAL TO PTO)

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From: Jordan M. Becker  
Date: 2/12/2003 Time: \_\_\_\_\_ **RECEIVED**  
Operator: Julie Arango Matter: 3399P087C  
Number of pages including cover sheet: 11 **MAR 3 1 2003**  
In Re Patent Application of: Peter F. King et al. **Technology Center 2100**  
Application No.: 09/533,556  
Filed: February 24, 1999  
For: Providing a Directory of Frequently Used Hyperlinks on a Remote Server

Enclosed are the following documents:

#### Courtesy Copies of:

- ☒ returned stamped postcard dated February 28, 2002 (1 pg.)
- ☒ Power of Attorney by Assignee and Revocation of Previous Powers (2 pgs.)
- ☒ returned stamped postcard dated January 7, 2002 (1 pg.)
- ☒ Request for Filing Receipt (2 pgs.)
- ☒ copy of Decision Granting Petition (1 pg.)
- ☒ Change of Correspondence Address (1 pg.)
- ☒ Request for Change of Attorney Docket Number (2 pgs.)

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 DECISION GRANTING  
 PETITION

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MAR 31 2003

Technology Center 2100

*Correct* → In re Application of  
 Peter F. King, et al  
 Application No. 09/533,556  
 Filed: February 24, 1999  
 For: PROVIDING A DIRECTORY OF  
 FREQUENTLY USED HYPERLINKS ON A  
 REMOTE SERVER

*11/2/99 ?*  
 This is a decision on the petition filed September 27, 1999, requesting that the Continued Prosecution Application request under 37 CFR 1.53(d), filed February 24, 1999, be treated as an application filed under 37 CFR 1.53(b) and be accorded a filing date of February 24, 1999.

A review of the file of prior application no. 08/996,379 reveals that a request for a continued prosecution application (CPA) under 37 CFR § 1.53(d) based on prior application no. 08/996,379, was filed in the above-identified patent application on February 24, 1999. However, the issue fee was paid in the prior application on January 14, 1999. A CPA must be filed before payment of the issue fee in the prior application. See 37 CFR 1.53(d) (1) (ii) (A). Since the issue fee was paid in the prior application before the CPA request was filed and the prior application has now issued as a patent, the CPA request cannot be processed as a proper CPA. *OK*

*Wrong* → In view of the petition and improper CPA request, the CPA request and other papers filed on February 24, 1999, have been removed from the file of application No. 08/996,379 and have been assigned application No. 09/553,556 for identification purposes. All further correspondence concerning the application deposited on February 24, 1999, should be directed to application No. 09/553,556, not application No. 08/996,379.

The present petition requests that the CPA request be treated as an application under 37 CFR 1.53(b). It is noted that the CPA request was filed with, *inter alia*, a copy of the parent application including specification, claims, Abstract, and drawings; a copy of the declaration and previous assignments; and Preliminary Amendment.

The petition is **granted**.

*Correct* → After mailing of this decision, PTO records will be corrected to show that the basic filing fee and all associated multiple dependent and excess claim fees paid on February 24, 1999, were paid in application No. 09/533,556 and not in application No. 08/996,379. The petition fee of \$130.00 will be charged to the practitioner's deposit account as authorized.

The above-identified application will then be forwarded to the Office of Initial Patent Examination Division for further processing as a continuation application of prior application no. 08/996,379 filed under 37 CFR 1.53(b) with a filing date of February 24, 1999, using the specification, drawings, and declaration filed February 24, 1999.

Telephone inquiries specific to this matter should be directed to Petitions Attorney, Jennifer M. Hayes, at (703) 306-5608, or if unavailable, to the undersigned at (703) 305-9285.

*Eugenia A. Jones*

Eugenia A. Jones  
 Legal Advisor  
 Office of Patent Legal Administration  
 Office of the Deputy Commissioner  
 for Patent Examination Policy

JMH



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MAR 08 2002

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

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MAR 08 2002

STATUS DB-LA

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Technology Center 2100

Serial/Patent No.: 09/533,556 Filing/Issue Date: February 24, 1999  
Client: Operwave Systems Inc.  
Title: Providing a Directory of Frequently Used Hyperlinks on a Remote Server

BSTZ File No.: 1399P087C Atty/Secty Initials: JMB/jaa  
Date Mailed: 2-12-02 Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

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| <input type="checkbox"/> Amendment/Response (____ pgs.)                                | <input type="checkbox"/> Express Mail No. _____                                      | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (to triplicate)                      | <input type="checkbox"/> _____ Month(s) Extension of Time                            | <input type="checkbox"/> Amt: _____      |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)    | <input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.)     | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)           | <input type="checkbox"/> Issue Fee Transmittal                                       | <input type="checkbox"/> Amt: _____      |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)             | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                    | <input type="checkbox"/> Petition for Extension of Time                              |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CIPA Transmittal (____ pgs.)       | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                              | <input type="checkbox"/> Postcard  |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)                                 | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                         | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |  |
| <input type="checkbox"/> Assignment and Cover Sheet                                    | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |  |
| <input type="checkbox"/> Certificate of Mailing  | <input type="checkbox"/> Response to Notice of Missing Parts                         |  |
| <input type="checkbox"/> Declaration & POA (____ pgs.)                                 | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |  |
| <input type="checkbox"/> Disclosure Docs. & Copy of License & Spec. Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                            |  |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures            | <input type="checkbox"/> Fee Transmittal, in duplicate                               |  |

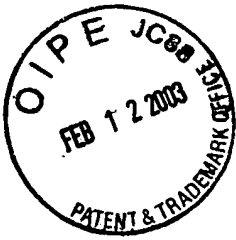
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JAN 15 2002

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LOS ANGELES

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MAR 31 2003

Technology Center 2100

Serial/Patent No.: 09/533,556 Filing/Issue Date: February 24, 199  
 Client: Openwave Systems Inc.  
 Title: Providing a Directory of Frequently Used Hyperlinks on a Remote Server

BSTZ File No.: 3399P087C Atty/Sec'y Initials: JMB/jaa  
 Date Mailed: 12-10-01 Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

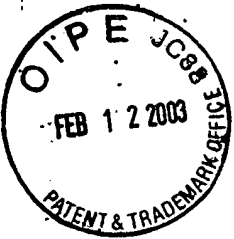
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| <input type="checkbox"/> Amendment/Response (____ pgs.)                             | <input type="checkbox"/> Express Mail No.:   | <input type="checkbox"/> Check No.: |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                   | <input type="checkbox"/> _____ Month(s) Extension of Time                            | <input type="checkbox"/> Amt:       |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.)     | <input type="checkbox"/> Check No.: |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)        | <input type="checkbox"/> Issue Fee Transmittal                                       | <input type="checkbox"/> Amt:       |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)          | <input type="checkbox"/> Notice of Appeal  |                                     |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                 | <input type="checkbox"/> Petition for Extension of Time                              |                                     |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)     | <input type="checkbox"/> Petition for _____  |                                     |
| <input type="checkbox"/> Application - Design (____ pgs.)                           | <input type="checkbox"/> Postcard  |                                     |
| <input type="checkbox"/> Application - PCT (____ pgs.)                              | <input type="checkbox"/> Power of Attorney (____ pgs.)                               |                                     |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                      | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                           |                                     |
| <input type="checkbox"/> Assignment and Cover Sheet                                 | <input type="checkbox"/> Reply Brief (____ pgs.)                                     |                                     |
| <input checked="" type="checkbox"/> Certificate of Mailing                          | <input type="checkbox"/> Response to Notice of Missing Parts                         |                                     |
| <input type="checkbox"/> Declaration & POA (____ pgs.)                              | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |                                     |
| <input type="checkbox"/> Declaration & Op. & Copy of Affidavit (____ pgs.)          | <input type="checkbox"/> Transmittal Letter, in duplicate                            |                                     |
| <input type="checkbox"/> Drawings: _____ # of sheets included                       | <input type="checkbox"/> Fee Transmittal, in duplicate                               |                                     |

☒ Other: Request for Filing Receipt (2 pgs.), copy of Decision Granting  
Petition, Change of Correspondence Address, Request for Change of  
Attorney Docket Number (2 pgs.)

ENTERED

JAN 16 2002

STATUS DB-LA



Attorney Docket No.: 3399P087C

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Peter F. King et al.

Serial No.: 09/533,556

Filing Date: February 24, 1999

For: PROVIDING A DIRECTORY OF  
FREQUENTLY USED HYPERLINKS  
ON A REMOTE SERVER

Examiner: Not yet assigned

Group Art Unit: 2776

which is a continuation of:

Serial No.: 08/996,379

Filing Date: December 22, 1997

which issued as U.S. Patent No.: 5,895,471

Assistant Commissioner for Patents  
Washington, D.C. 20231**RECEIVED**

MAR 3 1 2003

Technology Center 2100

REQUEST FOR FILING RECEIPT

Sir:

Applicant respectfully requests a filing receipt for the above-referenced patent application.

The above-referenced application was filed on February 24, 1999. To date, the applicant has not received an official filing receipt.

In support of this Request for Filing receipt, attached please find a copy of the Decision Granting Petition.

In view of the information presented, Applicant hereby respectfully requests the filing receipt for the above-referenced application.



Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

**ORIGINAL SIGNED BY**

Dated: 12/10 2001

JMB  
Jordan M. Becker

Registration No. 39,602

Customer No. 26529  
12400 Wilshire Boulevard  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 10, 2001

(Date of Deposit)

Julie Arango

(Typed or printed name of person mailing correspondence)

Julie Arango 12/10/01

(Signature of person mailing correspondence)



Attorney Docket No.: 3399P087C

Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Peter F. King et al.

Serial No.: 09/533,556

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For: PROVIDING A DIRECTORY OF  
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Serial No.: 08/996,379

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which issued as U.S. Patent No.: 5,895,471

Assistant Commissioner for Patents  
Washington, D.C. 20231**RECEIVED**

MAR 31 2003

Technology Center 2100

REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER

Sir:

To assist in the management of this case, Applicant requests a change of attorney docket number for the above-referenced patent application. Please change:

From:

UP-1007C1

To:

3399P087C

Please correct your records to reflect the above information.

No fee is believed to be due. However, if the PTO believes otherwise please charge deposit account 02-2666 any additional charges that may be due. If you have any questions please contact the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ORIGINAL SIGNED BY

Dated: 12/10, 2001

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on

December 10, 2001

Date of Deposit

Julie Arango

Name of Person Mailing Correspondence

Julie Arango

Signature

12/10/01

Date